

# Dipole? The $Q^2$ dependence of the axial nucleon form factor extracted from CCQE MiniBooNE data

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14 November 2013

*Femilab, PPD/Intensity Frontier Department Discussion*

- (★) One the main systematic errors in the neutrino oscillation experiments are those associated with (anti)neutrino cross sections.
- (★) In these experiments neutrino beams are peaked in the  $0.3 - 5\text{GeV}$  energy range, where CCQE and resonance production process are dominant.
- (★) CCQE interaction represents a two-particle scattering process with lepton and nucleon in the final state. Neutrino energy can be evaluated based on kinematics of the outgoing final state particles.
- (★) CCQE events selection criteria is strongly influenced by  $\nu$ -beam, target material, and detector technology. Therefore various selection techniques are applied in this experiments (tracking & calorimeter, Cerenkov detector).
- (★) Various the modern neutrino experiments measured CCQE  $d\sigma/dE_\mu d\cos\theta$ ,  $d\sigma/dQ^2$  inclusive and total cross sections.

- (★) With the assumptions of CVC and PCAC the only undetermined form factor is the axial form factor  $F_A(Q^2)$
- (★) The analysis are mainly based on the Fermi gas model (RFGM) with the dipole parameterization of  $F_A$  with free parameter of the axial mass  $M_A$ .  
$$F_A(Q^2) = F_A(0)/(1 + Q^2/M_A^2)^2$$
- (★) Formal averaging of  $M_A$  values, i.e. average value of axial mass  
$$M_A \approx 1.026 \pm 0.021 \text{ GeV}$$
- (★) Results of the modern experiments K2K, (Sci)MiniBooNE, NOMAD, MINERvA, T2K  
$$M_A \approx 1.0 \div 1.35 \text{ GeV}$$
- (★) Absolute values of the differential and total cross sections measured by MiniBooNE are about 30% larger as compared to the NOMAD result.

Several studies have tried to address these discrepancies.

- (★) Modified nuclear models which are based on **Impulse Approximation** (IA) have been used to find an axial mass close to MiniBooNE result  $M_A \approx 1.3 \div 1.4 \text{ GeV}$
- (★) Other models include effects of multinucleon excitation such as the **meson-exchange currents (MEC)** and **isobar current (IC)**. These contributions have been found sizable ( $\approx 30\%$ ) and allow one to reproduce the MiniBooNE cross sections with  $M_A \sim 1.03 \text{ GeV}$ .
- (★) The **transverse enhancement (TE) effective model** to account for MEC also allows one to describe the MiniBooNE total cross section with  $M_A \approx 1.014 \text{ GeV}$ .
- (★) Fully relativistic calculations beyond IA are extremely difficult and future work is needed to improve this approach.
- (★) The assumption of the dipole ansatz is a crucial element in many of these studies.

- (★) The value of  $F_A(Q^2)$  as a function of  $Q^2$  were extracted from pion electroproduction data on free proton (V. Bernard et al. 2002) and from  $d\sigma/dQ^2$  cross section data for neutrino scattering on deuterium (A. Bodek et al. 2007).
- (★) A reasonable description of  $F_A(Q^2)$  by dipole approximation with  $M_A \approx 1\text{GeV}$  was found.
- (★) We extended the procedure developed (H. Budd et al. 2005) for extraction of  $F_A(Q^2)$  from deuterium data to heavier nuclei and extracted in the RFGM, RFGM+TE, and RDWIA approaches  $Q^2$ -dependence of axial form factor from the MiniBooNE measured  $d\sigma/dQ^2$  cross sections.

## Formalism of the CCQE Scattering

# Formalism and Model

In the **inclusive reactions**

$$\nu(k_\nu) + A(p_A) \rightarrow \mu'(k_\mu) + X$$

only the outgoing lepton is detected and the differential cross sections can be written as

$$\frac{d^3\sigma^{cc}}{d\varepsilon_\mu d\Omega_\mu} = \frac{1}{(2\pi)^2} \frac{|k_\mu|}{\varepsilon_\nu} \frac{G^2 \cos^2 \theta_c}{2} L_{\mu\nu}^{(cc)} W^{\mu\nu}(cc)$$

where  $L_{\mu\nu}$  is CC lepton tensor and  $W^{\mu\nu}$  is weak CC hadron tensor.

- (●) All the nuclear structure information and FSI effects are contained in  $W_{\mu\nu}$ , which is given by a bilinear product of the transition matrix elements of the nuclear CC operator  $J_\mu$  between the initial nucleus state  $|A\rangle$  and the final state  $|X\rangle$  as

$$W_{\mu\nu} = \sum \langle X | J_\mu | A \rangle \langle A | J_\nu^\dagger | X \rangle = \langle J_\mu \cdot J_\nu^\dagger \rangle$$

where the sum is taken over undetected states.

- (●) In **Impulse Approximation (IA)** the incoming neutrino interacts with only one nucleon. The nuclear current is written as the sum of single-nucleon currents.

# Formalism and Model

- The single-nucleon charged current has V-A structure  $J^\mu = J_V^\mu + J_A^\mu$  and for a vertex function  $\Gamma^\mu = \Gamma_V^\mu + \Gamma_A^\mu$  we use a free nucleon **vector current** vertex function

$$\Gamma_V^\mu = F_V(Q^2)\gamma^\mu + i\sigma^{\mu\nu}q_\nu F_M(Q^2)/2m,$$

where  $\sigma_{\mu\nu} = i[\gamma^\mu\gamma^\nu]/2$ ,  $F_V$  and  $F_M$  are the **weak vector form factors**.

- We use the **MMD** approximation [P.Mergell et al (1996)] of the vector nucleon form factors with de Forest prescription (T. de Forest, 1983) and the Coulomb gauge for off-shell extrapolation of  $\Gamma_V^\mu$ .
- The **axial current** vertex function can be written in term of the axial  $F_A(Q^2)$  and pseudoscalar  $F_P(Q^2)$  form factors

$$\Gamma_A^\mu = F_A(Q^2)\gamma^\mu\gamma_5 + F_P(Q^2)q^\mu\gamma_5.$$

The pseudoscalar form factor  $F_P(Q^2)$  can be written in form

$$F_P(Q^2) = 2mF_A(Q^2)/(m_\pi^2 + Q^2) = F_A(Q^2)F'_P(Q^2),$$

where  $F'_P(Q^2) = 2m/(m_\pi^2 + Q^2)$ . Then axial vertex function  $\Gamma_A^\mu = F_A(Q^2)[\gamma^\mu\gamma_5 + F'_P(Q^2)q^\mu\gamma_5]$  and  $F_A$  is undetermined form factor.



- In independent particle **shell model IPSM** the relativistic bound-state functions  $\Phi$  are solutions of a Dirac equation, derived within a relativistic mean field approach in the  $\sigma - \omega$  model [B.Serot et al. 1986].
- According to JLab data [D. Dutta et al. (2003), J.J. Kelly 2005] the occupancy of the **IPSM** orbitals of  $^{12}\text{C}$  equal **89%** on average. We assume that the missing strength **11%** can be attributed to the short-range **NN-correlations** in the ground state of nucleus.
- The contribution of the **two-body currents, such as MEC and IC** are **not considered**.
- In the **RDWIA** the **FSI effects are taken into account**. The distorted-wave function of the knocked out nucleon is evaluated as a solution of a Schrödinger equation [LEA code J.J. Kelly, 1995] containing a phenomenological relativistic optical potential [E.Cooper et al.,1993].
- In **Fermi Gas model** for **carbon** we use  $p_F=221$  MeV/c and  $\epsilon_b=25$  MeV. The **RFGM** does not account for **nuclear shell structure, FSI effect, and the presence of NN-correlations**.

## Method of $F_A$ extraction

# Hadron tensor

- (●) In IA hadron tensor is given by products of the transition matrix elements of nucleon weak current operator  $J_\mu$ , i.e.

$$W_{\mu\nu} = \langle J_\mu J_\nu^\dagger \rangle.$$

The angle brackets denote products of matrix elements, appropriately averaged over initial states and summed over final states.

- (●) Axial vector current can be factorized in the form  $J_A = F_A(Q^2)J'_A(Q^2)$ , where

$$J'_A = \gamma^\mu \gamma_5 + F'_p(Q^2)q^\mu \gamma_5$$

and weak current can be expressed as

$$J = J_V(Q^2) + F_A(Q^2)J'_A(Q^2)$$

- (●) The expression for the hadron tensor then given by

$$W_{\mu\nu} = W_{\mu\nu}^V + F_A^2(Q^2)W_{\mu\nu}^A + hF_A(Q^2)W_{\mu\nu}^{VA},$$

where  $W_{\mu\nu}^V = \langle (J_V)_\mu (J_V)_\nu^\dagger \rangle$ ,  $W_{\mu\nu}^A = \langle (J'_A)_\mu (J'_A)_\nu^\dagger \rangle$ ,

$W_{\mu\nu}^{VA} = \langle (J_V)_\mu (J'_A)_\nu^\dagger + (J'_A)_\mu (J_V)_\nu^\dagger \rangle$ , and  $h$  is 1 for  $\nu$  and -1 for  $\bar{\nu}$ .

# Cross Section

- Contracting  $W_{\mu\nu}$  with lepton tensor  $L^{\mu\nu}$  we obtain

$$d\sigma/dQ^2 = \sigma^V + F_A^2(Q^2)\sigma^A + hF_A(Q^2)\sigma^{VA},$$

where  $\sigma^V = d\sigma/dQ^2(F_A = 0)$  is the vector cross section due to the vector component of weak current  $J_V$ ,

$\sigma^A = d\sigma/dQ^2(F_V = F_M = 0, F_A = 1)$  is axial cross section due to  $J'_A$  and doesn't depend on the vector form factors.

$$\sigma^{VA} = [\sigma(F_A = 1) - \sigma^V - \sigma^A],$$

arising from interference between the vector and axial currents and

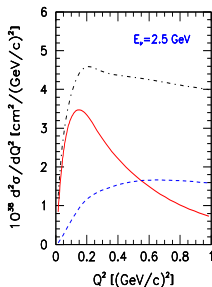
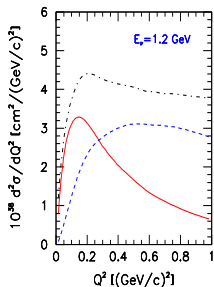
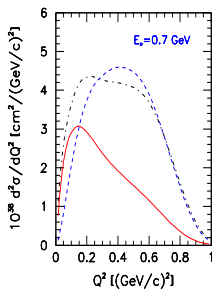
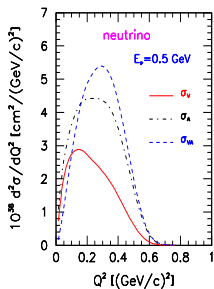
$$\sigma(F_A = 1) = d\sigma/dQ^2(F_A = 1).$$

- In the case of  $\nu$  scattering on free or “quasi free” nucleon the cross sections  $\sigma^V$ ,  $\sigma^A$ , and  $\sigma^{VA}$  can be expressed in terms of the vector form factors. For instance

$$\sigma^{VA} = \frac{G_F^2}{2\pi} \cos^2 \theta_c \frac{Q^2}{m_{\epsilon_\nu}} (1 - Q^2/4m_{\epsilon_\nu}) [F_V(Q^2) + F_M(Q^2)],$$

is going to zero at  $Q^2 \rightarrow 0$  and decreases with  $\epsilon_\nu$ .

# Cross Section



Differential cross sections  $\sigma_V$ ,  $\sigma_A$ , and  $\sigma_{VA}$  vs  $Q^2$  for neutrino scattering off carbon calculated in the RDWIA for neutrino energy:  $E_\nu = 0.5, 0.7, 1.2$  and  $2.5 GeV$ .

$\sigma_V$  has maximum at  $Q^2 \approx 0.15 (GeV/c)^2$  and depends slowly on  $E_\nu$

$\sigma_A$  is dominant at  $E_\nu > 1 GeV$  in the range  $Q^2 > 0.2 (GeV/c)^2$  and slowly decreases with  $Q^2$

$\sigma_{VA} \sim 1/E_\nu$  and depend slowly on  $Q^2$  at  $Q^2 > 0.3 (GeV/c)^2$

- In neutrino experiments  $d\sigma/dQ^2$  are measured within wide range of neutrino energy spectrum and flux-integrated cross section

$$\left\langle \frac{d\sigma^{\nu,\bar{\nu}}}{dQ^2} \right\rangle = \int_{\varepsilon_{min}}^{\varepsilon_{max}} P_{\nu,\bar{\nu}}(\varepsilon_\nu) \frac{d\sigma^{\nu,\bar{\nu}}}{dQ^2}(Q^2, \varepsilon_\nu) d\varepsilon_\nu$$

can be extracted from data.

- In terms of flux-integrated  $\langle \sigma^V \rangle$ ,  $\langle \sigma^A \rangle$  and  $\langle \sigma^{VA} \rangle$  we can write

$$\left\langle \frac{d\sigma^{\nu,\bar{\nu}}}{dQ^2} \right\rangle = \langle \sigma^V \rangle^{\nu,\bar{\nu}} + F_A^2 \langle \sigma^A \rangle^{\nu,\bar{\nu}} + F_A \langle \sigma^{VA} \rangle^{\nu,\bar{\nu}}$$

Values of  $F_A$  can be extracted as the solution this equation, using data for  $\langle d\sigma^{\nu,\bar{\nu}}/dQ^2 \rangle$  and  $\langle \sigma^i \rangle$  ( $i = V, A, VA$ ) averaged in  $Q^2$ -bins  $\Delta Q^2 = Q_{j+1}^2 - Q_j^2$

$$\langle \sigma^i \rangle_j = \frac{1}{\Delta Q^2} \int_{Q_j^2}^{Q_{j+1}^2} \langle \sigma^i(Q^2) \rangle dQ^2$$

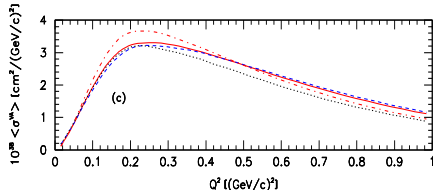
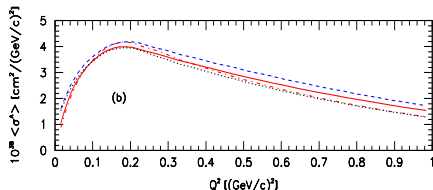
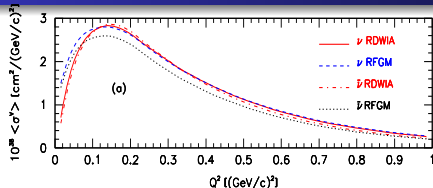
- In the case of neutrino scattering on deuterium (quasi-free nucleon) this procedure was applied [H.Budd et al.,2005, A.Bodek et al.,2008] for extraction  $F_A(Q^2)$  vs  $Q^2$ .

# RESULTS

- The MiniBooNE  $\nu_\mu$  ( $\bar{\nu}_\mu$ ) CCQE flux-integrated differential cross section  $d\sigma/dQ^2$  were extracted as function of  $Q^2$  in the range  $0 < Q^2 < 2(GeV/c)^2$  [A.A. Aguilar-Arevalo et al. 2010, 2013]. The total normalization error on neutrino (antineutrino) cross section measurement is 10.7% (17.2%).
- Within the Fermi Gas model with dipole parametrization of  $F_A(Q^2)$  the “shape-only” fit yields the model parameter  $M_A = 1.35 \pm 0.17 GeV$ .
- To extract  $F_A(Q^2)$  vs  $Q^2$  from the MiniBooNE  $\nu_\mu$  and  $\bar{\nu}_\mu$  flux-folded  $d\sigma/dQ^2$  cross sections we calculated the flux-integrated  $\langle\sigma^V\rangle$ ,  $\langle\sigma^A\rangle$ , and  $\langle\sigma^{VA}\rangle$  cross sections with Booster Neutrino Beamline  $\nu_\mu$  and  $\bar{\nu}_\mu$  fluxes [A.A. Aguilar-Arevalo et al., 2009]. The measured cross sections with “shape-only” error were used in equation.



# Flux-integrated cross sections



Flux-integrated cross sections as functions of  $Q^2$ . The cross section were calculated in the RDWIA and RFGM approaches. In the region  $Q^2 < 0.25 (GeV/c)^2$  the Fermi gaz model results are higher ( $\approx 10\%$ ) than those obtained within RDWIA.

$\langle \sigma^V \rangle^{\nu, \bar{\nu}}$ ,  
 $\langle \sigma^A \rangle^{\nu, \bar{\nu}}$ , and  $\langle \sigma^{VA} \rangle^{\nu, \bar{\nu}}$

# Neutrino cross section and form factor

Flux-integrated  $\langle d\sigma/dQ^2 \rangle^\nu$

cross section per neutron

target for the neutrino CCQE

scattering (upper panel) and

the normalized axial form factor

$F_A(Q^2)/F_A(0)$  extracted

from the MiniBooNE data

(lower panel). Upper panel:

calculations from the RDWIA

with  $M_A = 1.37\text{ GeV}$  and

RFGM with  $M_A = 1.36\text{ GeV}$ .

Lower panel: filled circles

(filled squares) are the axial

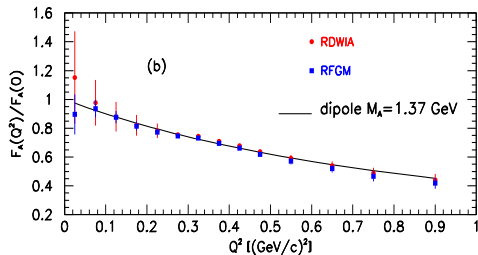
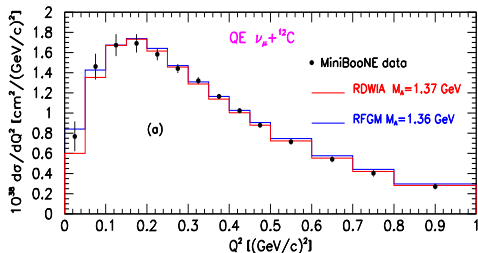
form factor extracted within

the RDWIA (RFGM) and

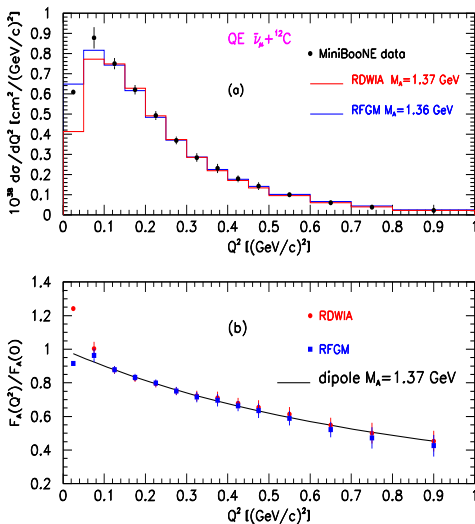
solid line is the result of the

dipole parametrization with

$M_A = 1.37\text{ GeV}$ .

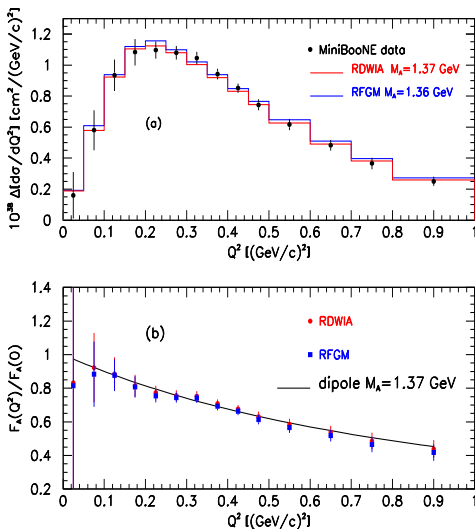


# Antineutrino cross section and form factor



Flux-integrated  $\langle d\sigma/dQ^2 \rangle^\nu$  cross section per proton target for the antineutrino CCQE scattering (upper panel) and the normalized axial form factor extracted from the MiniBooNE data (lower panel). There is an agreement between the calculated and measured cross sections. The value of the axial form factors extracted within the RDWIA are similar to the RFGM result. A good match between the dipole parametrization with  $M_A = 1.37 \text{ GeV}$  and extracted form factors is observed.

# Difference of the cross sections and form factor

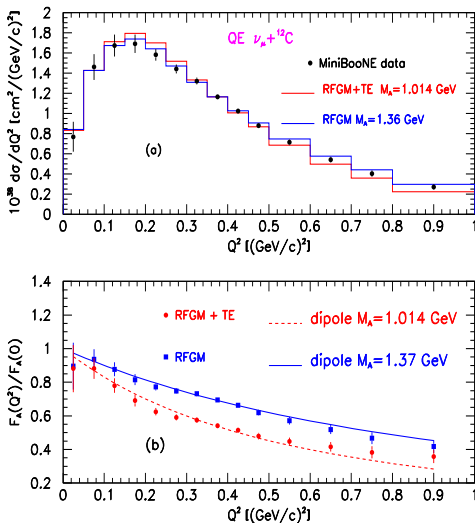


The difference of the measured cross sections  $\delta[d\sigma/dQ^2] = \langle d\sigma/dQ^2 \rangle^\nu - \langle d\sigma/dQ^2 \rangle^{\bar{\nu}}$  (upper panel) vs  $Q^2$  compared with the RDWIA and RFGM calculations. Also shown are the normalized axial form factor extracted in this approaches from  $\Delta[d\sigma/dQ^2]$  (lower panel). A good match between the calculated and measured differences  $\delta[d\sigma/dQ^2]$  is observed. The extracted axial form factors also agrees well with the dipole approximation with  $M_A = 1.37 \text{ GeV}$ .

# Transverse enhancement

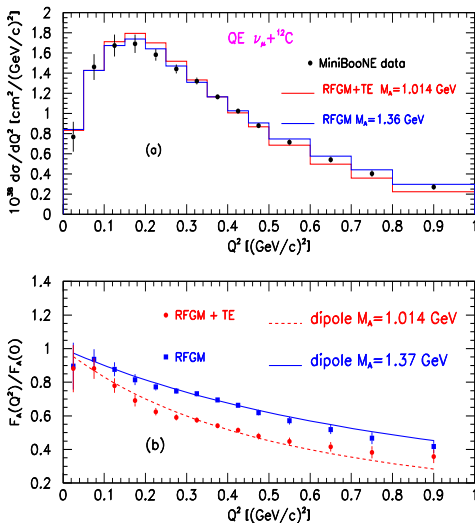
- To explain the discrepancy between the low (MiniBooNE) and high (NOMAD) energy (anti)neutrino CCQE cross section and  $M_A$  measurement it was assumed [A. Bodek et al., 2011] that enhancement in the transverse (anti)neutrino CCQE cross section are modifies  $F_M(Q^2)$ , for bound nucleon at low  $Q^2 \approx 0.3(\text{GeV}/c)^2$ .
- If the transverse enhancement (TE) originates from MEC, enhancement in the longitudinal and axial contribution is small. Therefore, in this model  $F_V(Q^2)$  and  $F_A(Q^2)$  are the same as for free nucleon. A TE function was proposed for carbon target.
- To study the TE effects on the extracted  $F_A(Q^2)$  we compare results of the RFGM ( $M_A = 1.36\text{GeV}$ ) and FRGM+TE ( $M_A = 1.014\text{GeV}$ ) [J. T. Sobczyk, 2012] with the TE function from [A. Bodek, 2011].

# Neutrino cross sections with TE



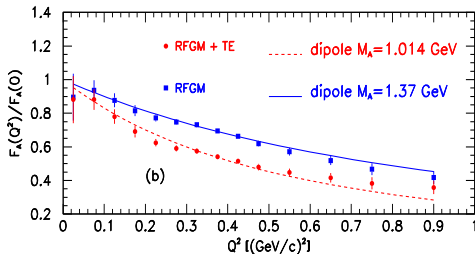
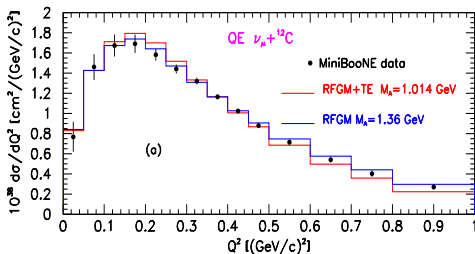
In the range  $Q^2 < 0.5(\text{GeV}/c)^2$  the cross sections calculated within the RFGM+TE model is in agreement with data and RFGM prediction. At  $Q^2 > 0.7(\text{GeV}/c)^2$  the RFGM+TE with  $M_A = 1.014\text{GeV}$  is lower than measured cross section.

# Neutrino cross sections with TE



The shape of the  $Q^2$ -dependence of the axial form factor, extracted within RFGM+TE approach **can't** be well described by the dipole ansatz. In the region  $Q^2 \approx 0.2 - 0.3(\text{GeV}/c)^2$ , where enhancement in the  $F_M(Q^2)$  was assumed, the extracted values of  $F_A$  are **lower** than those predicted from dipole approximation.

# Neutrino cross sections with TE



At  $Q^2 > 0.6(\text{GeV}/c)^2$  the enhancement function disappears with higher values of  $Q^2$ . And the values of  $F_A$  extracted in RFGM+TE model start approaching to those extracted in the RFGM.

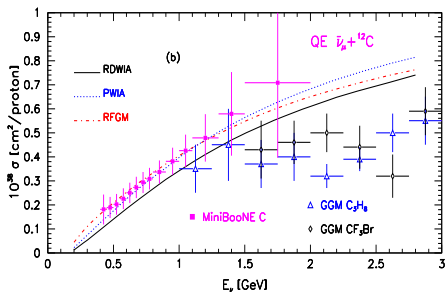
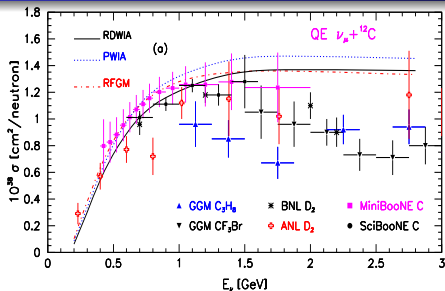
For self-consistent description of the MiniBooNE data when using transverse response function with the enhancement one must use some non-dipole parametrization for  $F_A(Q^2)$ .



# Enhancement and Dipole

- The dipole approximation of the nucleon form factors assumes that nucleons have a simple exponential spatial charge distribution  $\rho(R) = R_0 \exp(-R/R_0)$ , where  $R_0$  is the scale of nucleon radius.
- Form factors are related in the non-relativistic limit to the Fourier transform of the charge, magnetic momentum, and axial charge distribution. Above  $\rho(R)$  distribution yields the dipole form  $F_D^{V,M,A} = F_0^{V,M,A} / (1 + Q^2/M_{V,A}^2)^2$   
The magnetic momentum distribution were assumed to have the same spatial dependence as the charge distribution.
- From the analysis of the MiniBooNE measured CCQE cross sections follows that  $Q^2$ -dependence of the axial and vector form factor are correlated. If one uses the dipole approximation for vector form factors one must use the dipole ansatz and for the axial form factor.

# Total cross sections



Total cross section for **CCQE** scattering of muon neutrino (per neutron, upper panel) and antineutrino (per proton, lower panel) on carbon as a function of incoming (anti)neutrino energy. The solid line is the RDWIA results ( $M_A = 1.37\text{GeV}$ ), dashed-dotted line is the **RFGM** result ( $M_A = 1.36\text{GeV}$ ) and dotted line is the **PWIA** ( $M_A = 1.37\text{GeV}$ ) result.

# CONCLUSIONS

# Conclusions

- Method for extraction  $F_A(Q^2)$  from the measured flux-integrated  $d\sigma/dQ^2$  cross section of CCQE (anti)neutrino scattering off nuclei is presented.
  - ★ It is based on the fact that  $d\sigma/dQ^2$  cross section can be written as sum of the vector  $\sigma^V$ , axial  $\sigma^A$ , and vector-axial  $\sigma^{VA}$  cross sections.
- In our analysis we used the cross sections with “shape-only” error measured in the MiniBooNE experiment.
  - ★ In the RDWIA, RFGM, and RFGM+TE approaches we calculated the flux-integrated  $\langle\sigma^V\rangle$ ,  $\langle\sigma^A\rangle$ , and  $\langle\sigma^{VA}\rangle$  cross sections, which were used for extraction of  $F_A(Q^2)$  vs  $Q^2$
  - ★ The value of  $F_A(Q^2)$  extracted in the RDWIA and Fermi gas model agree well with the dipole approximation result with  $M_A = 1.37\text{GeV}$ . Whereas we found that there is a disagreement between the form factors extracted in the RFGM+TE approach and predicted by dipole approximation with  $M_A = 1.014\text{GeV}$ .
- We conclude that  $Q^2$ -dependence of the axial and vector form factors are correlated.